PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES LA-7690-103 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORIT 23 December 2003 PCT/EP2004/013463 26 November 2004 TITLE OF INVENTION ILLUMINATION DEVICE APPLICANT(S) FOR DO/EO/US Hartmut S. ENGEL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. х include items (5), (6), (9) and (21) indicated below. 4 The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. Х is attached hereto (required only if not communicated by the International Bureau). a. b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. x Х is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. х Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. х have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 8. 9 An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. х Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 x A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. 15. х A substitute specification. A power of attorney and/or change of address letter. 16 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19 20. x Other items or information: Return Receipt Postcard

AP20 Rec C 2017 0 2 3 JUN 2006

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U.S. APPLICATION NO. PRINCED, see 57C5 1.5 INTERNATIONAL APPLICATION NO. PCT/EP2004/013463				ATTORNEY'S DOCKET NUMBER				
				2004/01	3463		LA-7690-	
The following fees have been submitted					CAI	LCULATIONS	PTO USE ONLY	
21. x Basic national fee\$300					\$	300.00		
22. x Examination fee								,
If International preliminary examination report prepared by USPTO and all claims satisfy								
provisions of PCT Article 33(1)-(4)						s	200.00	
23. x Search fee								
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the								
USPTO as an International Searching Authority\$100								
International Search Report prepared and provided to the Office \$400 All other situations \$500						\$	500.00	
TOTAL OF 21, 22 and 23 =						\$	1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is								
	iting or computer path			c meaium).	i ne tee is			
			f each additional 50 or fraction			1		
		thereof (rou	ound up to a whole number		-			
26 - 100 = Surcharge of \$130	/50 =	the oath or de	claration later tha	n 30 mont	x \$250.00	 		
from the earliest dai				11 30 1110111	113	\$		
CLAIMS	NUMBER I	ILED NUI	MBER EXTRA	F	ATE			
Total claims		20 =		х		\$	0.00	
			X			\$	0.00	
MULTIPLE DEPEN	DENT CLAIM(s)							
l						 	1,000.00	
Applicant clair	ms small entity sta	itus. See 37 Cl	FR 1.27. Fees abo	ve are redu	aced by 1/2.	\$		
SUBTOTAL =						\$	1,000.00	
Processing fee of \$	130.00 for furnishi	ng the English	translation later tha	in 30 month	ns +	\$		
						e -	1 000 00	
Fee for recording the enclosed assignment (37 CFR 1 21 (h)). The assignment must be							1,000.00	
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								
			TOTAL F	EES ENC	LOSED =	\$	1,000.00	
								\$
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								\$1,000.00
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. X Please charge my Deposit Account No. 50-0337 in the amount of \$ 1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								
overpayment to Deposit Account No. 50-0337 . A duplicate copy of this sheet is enclosed.								
information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
M. John Carson SIGNATURE:								
FULBRIGHT & JAWORSKI L.L.P.						John Ca	arson	
555 South Flower Street NAME								
Los Angelos California 90071							İ	
(212) 902 0252								
` '	CUSTOMER NUMBER: 000167							
TOTAL OF ABOVE CALCULATIONS = \$ 1,000.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. \$ SUBTOTAL = \$ 1,000.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).								

Application No. (if known): Not Yet Assigned

Attorney Docket No.: LA-7690-103

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV841978416US in an envelope addressed to:

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on _	23 June 2006 Date	· -					
	Dena	S. Hill					
Signature							
	Dena S. Hill						
	Typed or printed name of person signing Certificate						
		(213) 892-9200					
Re	egistration Number, if applicable	Telephone Number					

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

English language translation of the International application English language translation of the amendments to the claims English language translation of the German language annex

Substitute Specification Preliminary Amendment

Transmittal Letter to the United States Designated-Elected Office

Charge \$1000.00 to deposit account 50-0337